



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Brock and Woojin Lee

Application No.: 10/008,871

Group Art Unit: 3732

Filed: November 16, 2001

Examiner: Philogene, Pedro

Confirmation No.: 5037

For: SURGICAL INSTRUMENT

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FEB 24 2004

TECHNOLOGY CENTER R3700

| | |
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| <u>2/13/04</u> Date | <u>Pamela Sarno</u> Signature |
| <u>PAMELA SARNO</u> Typed or printed name of person signing certificate | |

TRANSMITTAL OF SMALL ENTITY STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.

A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Caroline M. Fleming

Caroline M. Fleming

Registration No. 45,566

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: 2/13/04

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February 13, 2004

PATENT APPLICATION
DOCKET NO. 3300.1014-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Brock and Woojin Lee

Application No.: 10/008,871

Group: 3732

Filed: November 16, 2001

Examiner: P. Philogene

Confirmation No.: 5037

For: Surgical Instrument

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| <u>2/13/04</u> Date | <u>Pamela Sarno</u> Signature |
| <u>PAMELA SARNO</u> Typed or printed name of person signing certificate | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

[] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[x] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

| (COL. 1) | (COL. 2) | (COL. 3) | (COL. 4) | (COL. 5) |
|--|---|----------|---------------------------------------|------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA |
| TOTAL | 68 | MINUS | * 93 | 0 |
| INDEP | 5 | MINUS | ** 9 | 0 |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | |

* not fewer than 20

** not fewer than 3

| SMALL ENTITY | | |
|--------------|--------|---------------|
| | RATE | ADDIT. FEE |
| X | \$ 9 | \$ 0 |
| X | \$ 43 | \$ 0 |
| + | \$ 145 | \$ |

TOTAL = \$ 0

| OTHER THAN SMALL ENTITY | | |
|----------------------------|--------|---------------|
| | RATE | ADDIT. FEE |
| X | \$ 18 | \$ |
| X | \$ 86 | \$ |
| + | \$ 290 | \$ |

TOTAL = \$ 0

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Please charge Deposit Account No. 08-0380 for the following fees:

| | | | |
|--------------------------|---|----|----------|
| <input type="checkbox"/> | Petition for [] month Extension of Time | \$ | _____ |
| <input type="checkbox"/> | Amendment Fee | \$ | _____ |
| <input type="checkbox"/> | Other Fees: | | |
| | _____ | \$ | _____ |
| | _____ | \$ | _____ |
| | TOTAL: | \$ | <u>0</u> |

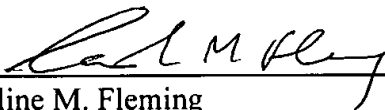
A check is enclosed in payment of the following fees:

| | | | |
|-------------------------------------|---|----|------------|
| <input checked="" type="checkbox"/> | Petition for 3 months Extension of Time | \$ | <u>475</u> |
| <input type="checkbox"/> | Amendment Fee | \$ | _____ |
| <input checked="" type="checkbox"/> | Other Fees: | | |
| | Supplemental IDS | \$ | <u>180</u> |
| | _____ | \$ | _____ |
| | TOTAL: | \$ | <u>655</u> |

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
Caroline M. Fleming
Registration No.: 45,566

Telephone (978) 341-0036
Facsimile (978) 341-0136

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Concord, Massachusetts 01742-9133

Dated: 2/13/04